

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DeRobertis et al.

Serial No.: 09/903,323

Filed: July 11, 2001

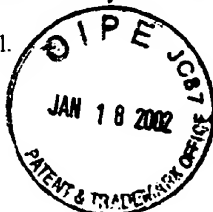
Due Date: n/a

Title: ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS - OLIGONUCLEOTIDES
FOR EXPRESS HUMAN FRAZZLED (FRZB-1) PROTEIN

Examiner: Unknown

Group Art Unit: 1647

Docket: 510015-261



CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this correspondence and identified enclosures are being deposited with the United States Postal Service, first class mail, postage prepaid, under 37 C.F.R. 1.8 on the date indicated, and is addressed to the Commissioner for Patents, Washington, D.C. 20231 on December 20, 2001.

Kelly Walsh
Kelly Walsh

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notification of Error in Payment of Fees as a Small Entity (37 C.F.R. § 1.28(c)).
- ☒ Check in the amount of \$355.00 to cover deficiency in filing fee
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 16-2230. A duplicate of this sheet is enclosed.

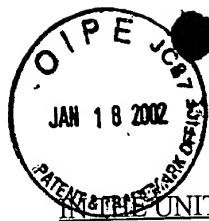
OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, 38th Floor
Los Angeles, CA 90067-3024
(310) 788-5000

By: *Charles Berman*
Name: Charles Berman
Reg. No.: 29,249
CB/kw

RECEIVED

JAN 28 2002

OFFICE OF PETITIONS



#41

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DeRobertis et al.

Examiner: Unknown

Serial No.: 09/903,323

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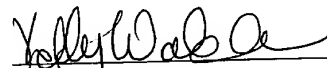
Title: ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS -
OLIGONUCLEOTIDES FOR EXPRESS HUMAN FRAZZLED (FRZB-1)
PROTEIN

RECEIVED

OFFICE OF PETITIONS

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Kelly Walsh

NOTIFICATION OF ERROR IN PAYMENT OF FEES AS A SMALL ENTITY (37
C.F.R. § 1.28(c))

Commissioner for Patents
Washington, D.C. 20231

Sir:

On July 11, 2001, a small entity assertion was erroneously filed in this patent application.

This assertion of small entity status in this application and the payment of fees as a small entity was made in good faith. It has now been discovered that such status as a small entity was established in error.

ITEMIZATION OF THE FEES ERRONEOUSLY PAID AS A SMALL ENTITY

FEES ERRONEOUSLY PAID
AS A SMALL ENTITY

FEE ACTUALLY PAID AS
A SMALL ENTITY

DEFICIENCY
OWED

☒ Filing fee paid on July 11, 2001.

\$355.00

\$355.00

Adjustment date: 01/28/2002 GTEFFERA
07/16/2001 KZEMDIE 00000015 09903323
01 FC:201 -355.00 DP

TOTAL DEFICIENCY OWED: \$355.00

01/28/2002 GTEFFERA 00000144 162230 09903323

01 FC:101

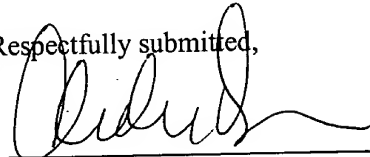
30.00 CH

710.00 DP

The total deficiency owed is paid as follows:

- ☒ Attached is a check in the amount of \$355.00
- ☐ Authorization is hereby made to charge the amount of _____
- ☒ Please charge any additional fees required by this paper or credit any overpayment to
Deposit Account No. 16-2230.

Respectfully submitted,



Charles Berman
Registration No. 29,249

Date: December 20, 2001

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